Liquid Capital Statement

for the month of 31-JAN-17

of M/s. FDM Capital Securities (Pvt.)Ltd

Submission Date 15-FEB-2017 16:19:11

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	17,841,061	17,841,061	
1.2	Intangible Assets	9,170,850	9,170,850	
1.3	Investment in Govt. Securities (150,000*99)			
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year. ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	361,523,140	306,913,151	54,609,989
	respective securities whichever is higher.	001,020,110	333,313,131	0.,000,000
	ii. If unlisted, 100% of carrying value.			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	500,000	500,000	
1.9	or any other entity. Margin deposits with exchange and clearing house.			
1.10	Deposit with authorized intermediary against borrowed securities under SLB.			
1.11	Other deposits and prepayments			
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) Receivables other than trade receivables			
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value. ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut	7,000,077		7 000 077
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	7,933,377	0	7,933,377
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	81,353,410	22,888,808	22,888,808
	value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.			
	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	97,306,151	0	97,306,151
	ii. Bank balance-customer accounts	48,998,067	0	48,998,067
1.19	iii. Cash in hand Total Assets	624,626,056	357,313,870	231,736,392
2	Liabilities	024,020,000	337,313,370	201,700,092
2.1				
2.1	Trade Payables i. Payable to exchanges and clearing house			
	ii. Payable to exchanges and clearing house iii. Payable against leveraged market products			
	iii. Payable to customers	48,908,620	0	48,908,620
2.2	Current Liabilities			
	i. Statutory and regulatory dues	E40 E00		540.500
L	ii. Accruals and other payables	510,520	0	510,520

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iii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of long term liabilities vi. Deferred Liabilities vi. Provision for bad debts viii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements 2.3 Non-Current Liabilities i. Long-Term financing ii. Staff retirement benefits iii. Other liabilities as per accounting principles and included in the financial statements 2.4 Subordinated Loans i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted iii. Subordinated loans which do not fulfill the conditions specified by SECP	d Ma	Wood of Aggreent	Talus in	Tipin Cut /	Mak Addinated
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